

**SYMPOSIUM : NUMERICS IN GEOTECHNICS & STRUCTURES**

**25 YEARS ZSOIL.PC**

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# Better ZSOIL, Better Geotechnical Analysis

——3D Numerical Analysis of A Building Under  
Construction Collapse in Shanghai

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## Overview

# Some photos

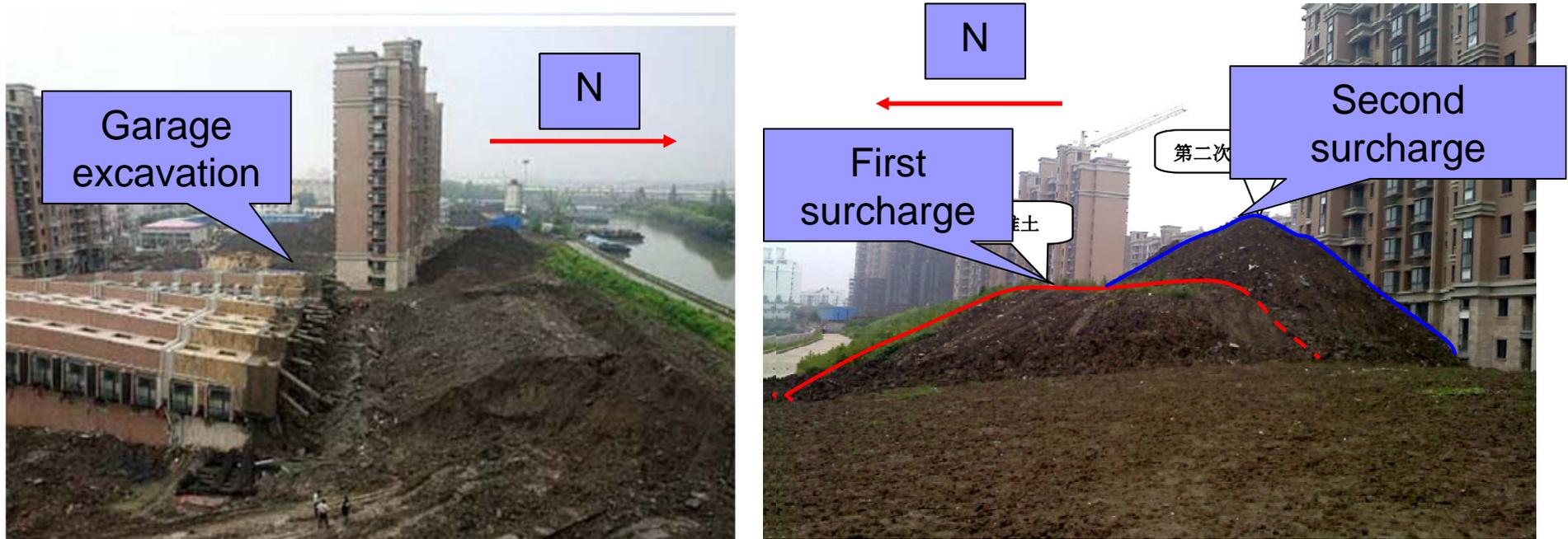
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- On June 27, 2009 at 5:30am local time, Block 7, one of the eleven 13-storey buildings of the apartment complex, collapsed killing one worker.
- As can be seen in the photo, the 13-story apartment building collapsed with just enough room to escape what would have been a far more destructive domino effect involving other structures in the 11-building complex.

# Overview

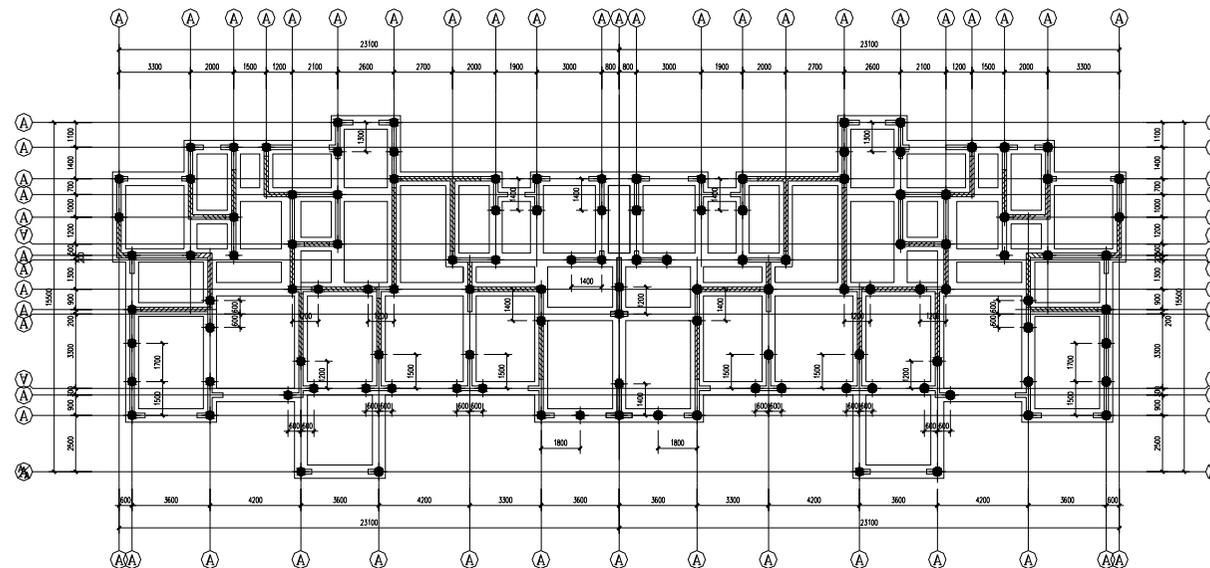
## Cause



- The surcharge (backfill from underground garage excavation) on the north side of the building is considered the chief culprit
- And the underground garage excavation on the south side of the building is another important cause
- Other unfavorable factors : heavy rain just several hours before the accident

# Overview

## Layout of foundation



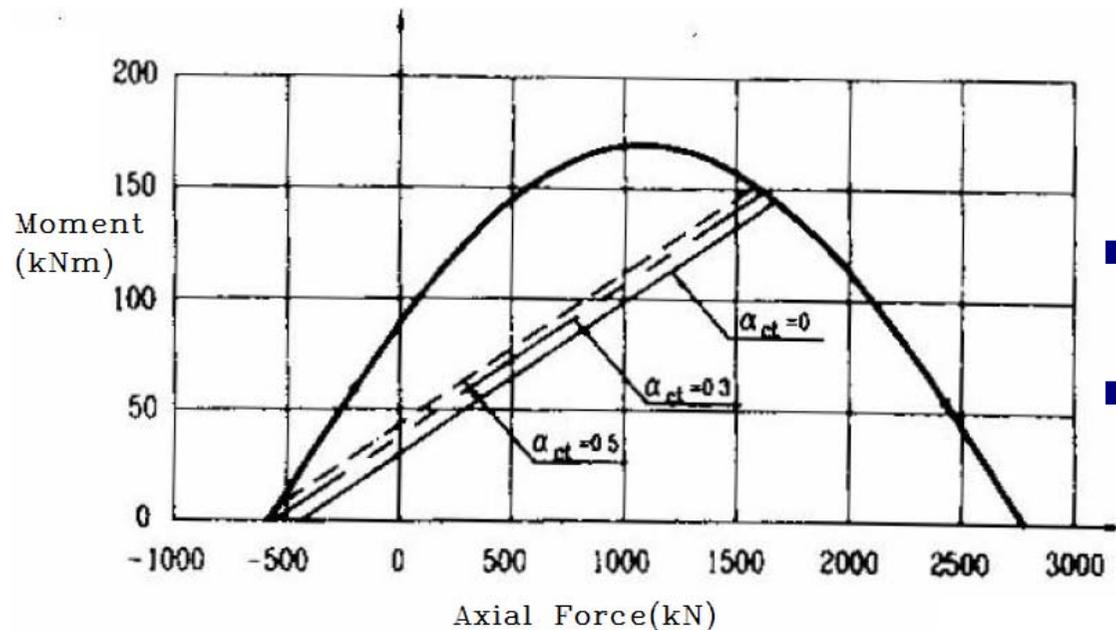
7#住宅桩位平面布置图

桩选型：●为PHC-AB400-80-33,未注明的桩均居轴线居中布置。

- The foundation style of the building is “Pile + Strip Foundation”, no raft
- About 118 Pre-stressed concrete pipe piles are used to support the building
- Pile type is PHC-AB-400-80-33(according to shanghai design code), Dia.=400mm,thickness=80mm, length=33m (11m+11m+11m, 2 welded joints)

## Overview

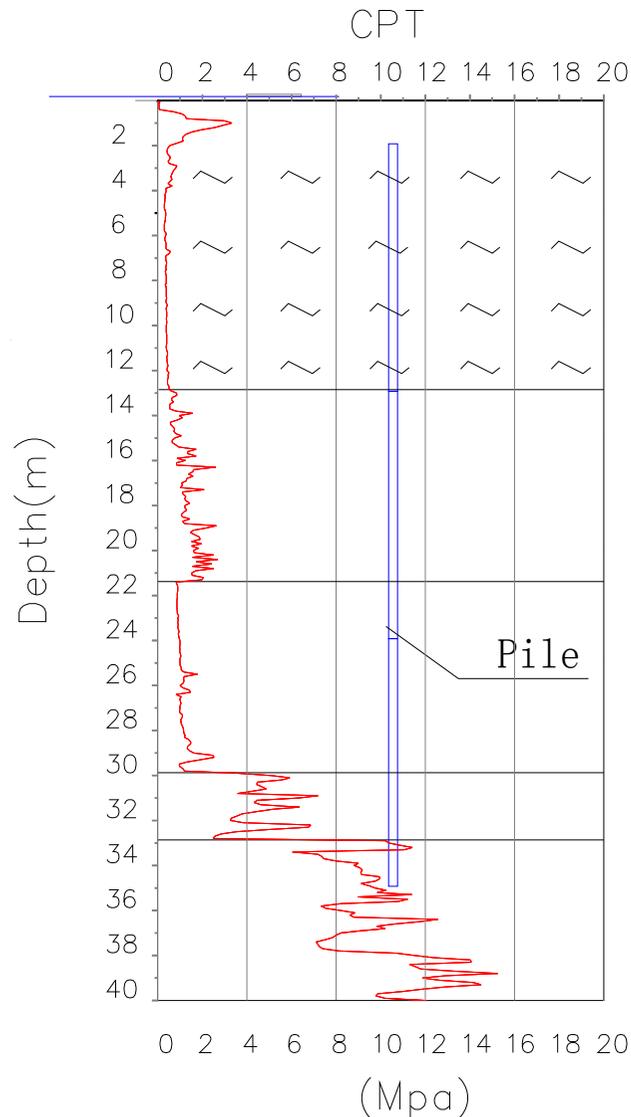
# Bearing capacity of pile



Bearing Capacity of pile, M-N map

- Bearing capacity of the PHC pile is described in this Scheme ( according to DBJT08-92-2000, Shanghai Design Standards)
- Flexural capacity is coupled with the axial force
- For a pile at work (axial force 500kN~1500kN), its max. flexural capacity will be around 150kNm
- Joints are weak link. Their flexural capacity will be lower than 150kNm, if welding quality is not reliable

# Overview Borehole



$W_{max}=67.9\%$ ,  $e_0=1.90$ ,  $f_a < 50\text{KPa}$   
 $P_s=0.35\text{MPa}$ ,  $S_u \leq 17\text{KPa}$

③~④ very soft clay

The soil from ground surface to 13m depth is very soft clay. Its engineering properties may be the worst in Shanghai.

⑤1 clayey silt

- Max. void ratio : 1.4, Bearing capacity :  $< 50\text{kPa}$

⑤3 silty clay

- $P_s$  (CPT)  $< 0.35\text{Mpa}$

⑦1-1 clayey silt

- $N$  (SPT)  $< 0.5$

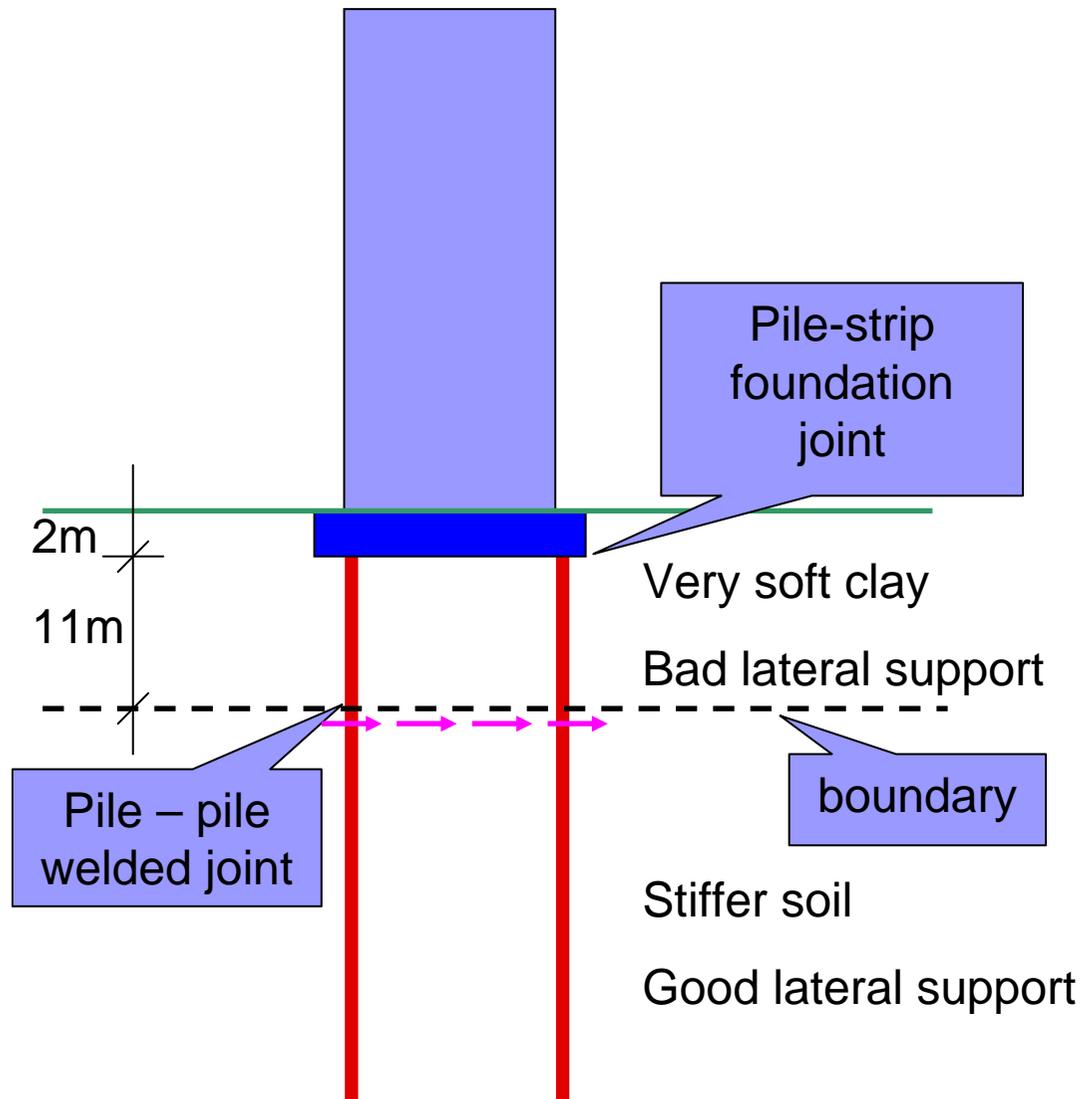
⑦1-2 silt

- $E_{oed} < 1.5\text{Mpa}$

- The soil from around 13~22m depth is stiffer than the upper soil,  $P_s > 2.5\text{Mpa}$

## Overview

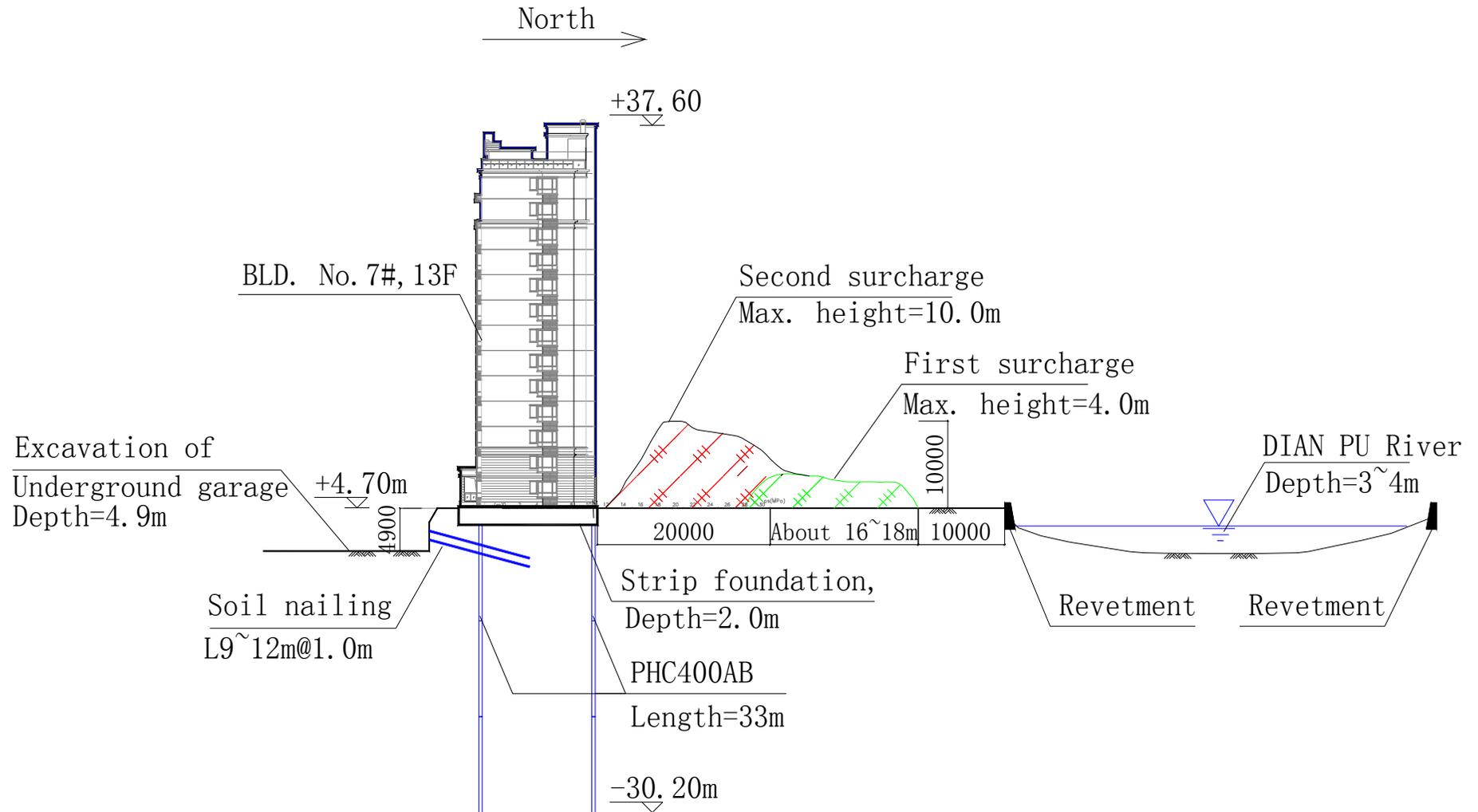
# Soil and Pile



- The stiffness difference of the upper soil and lower soil is very huge. If we use CPT as the basis for judgment of soil stiffness, the lower soil is about 8 ( $2.5/0.35\text{Mpa}$ ) times large than the upper soil.
- The large stiffness difference will cause large shear force and moment of piles near the boundary.
- The pile - pile welded joint (weak link) just situates near the boundary.
- The pile - strip foundation joint is also in the very soft clay.

# Overview

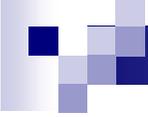
## Schematic diagram



# Modeling Software



- The government accident investigation expert group employed ZSOIL.PC(2D) to analyze the affect caused by the surcharge.
- The photo left shows an expert described the cause of the accident with contour map generated by ZSOIL.PC(2D) on Shanghai Municipal Government press conference
- ZSOIL.PC(3D) is employed to conduct the following analysis



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Modeling

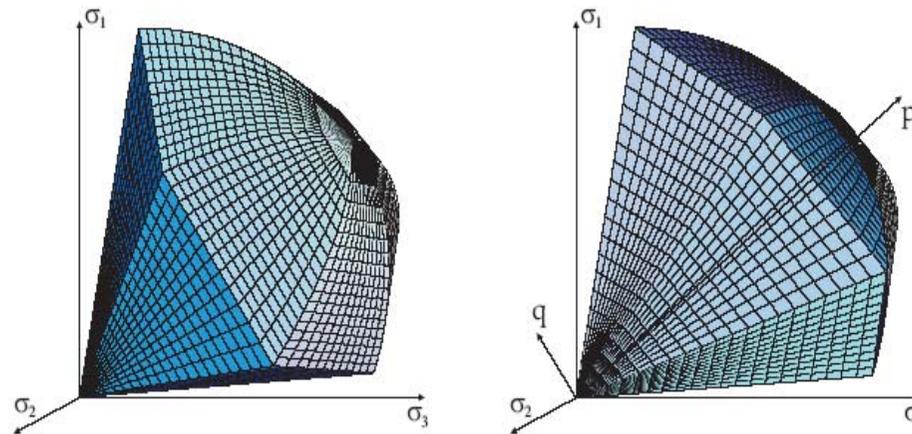
# Basic rules

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Basic Rules for modeling : Realistic procedure, reasonably simplified FEM model, reliable parameters

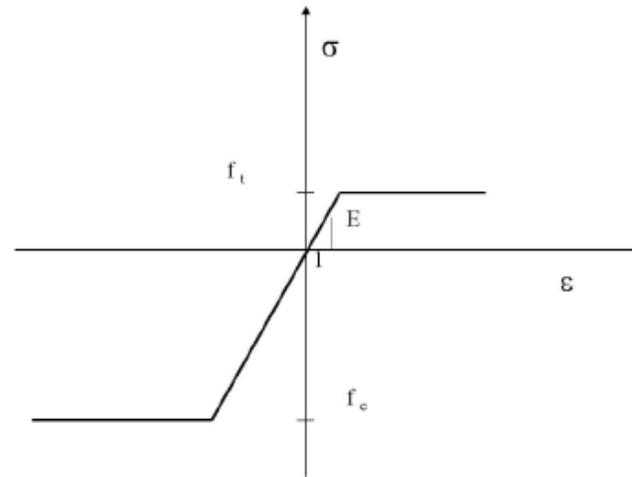
# Modeling Soil constitutive model

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- Hardening soil model with small strain stiffness (Benz, 2007) is employed to simulate the stress-strain-strength relationship of Shanghai soft clay.
- Cap yield surface + Hyperbolic shear yield surface can model the behavior of soil more accurately.

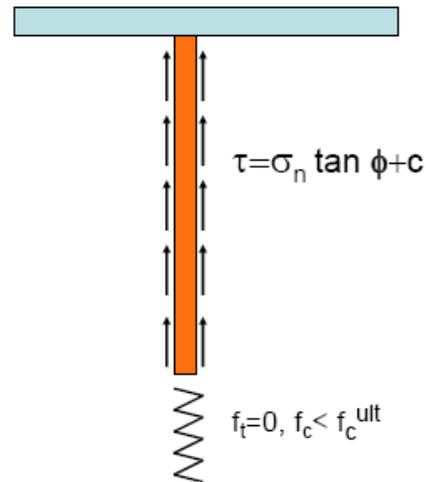
# Modeling Structure model



Uni-axial elasto-plastic material model

- Strip foundation → beam element, with real section dimension
- Wall and shear wall, floor → one layer shell element, same thickness with the real structure member
- Advanced structure material setting → steel bar, core concrete, tension strength of concrete and steel bar, compression strength of concrete and steel bar ..... → simulate the real situation

# Modeling Pile



- Pile → embedded pile element (since v2009), circular pipe section, real dimension
- Frictional (shear) interface + pile toe interface → simulate the real interaction between pile and soil
- **Pile material → elasto-plastic beam, simulate the critical bearing capacity of piles**
- Pile head is rigidly connected to the strip foundation, so the shear force, moment, and axial force can transfer from upper structure to piles, and vice versa



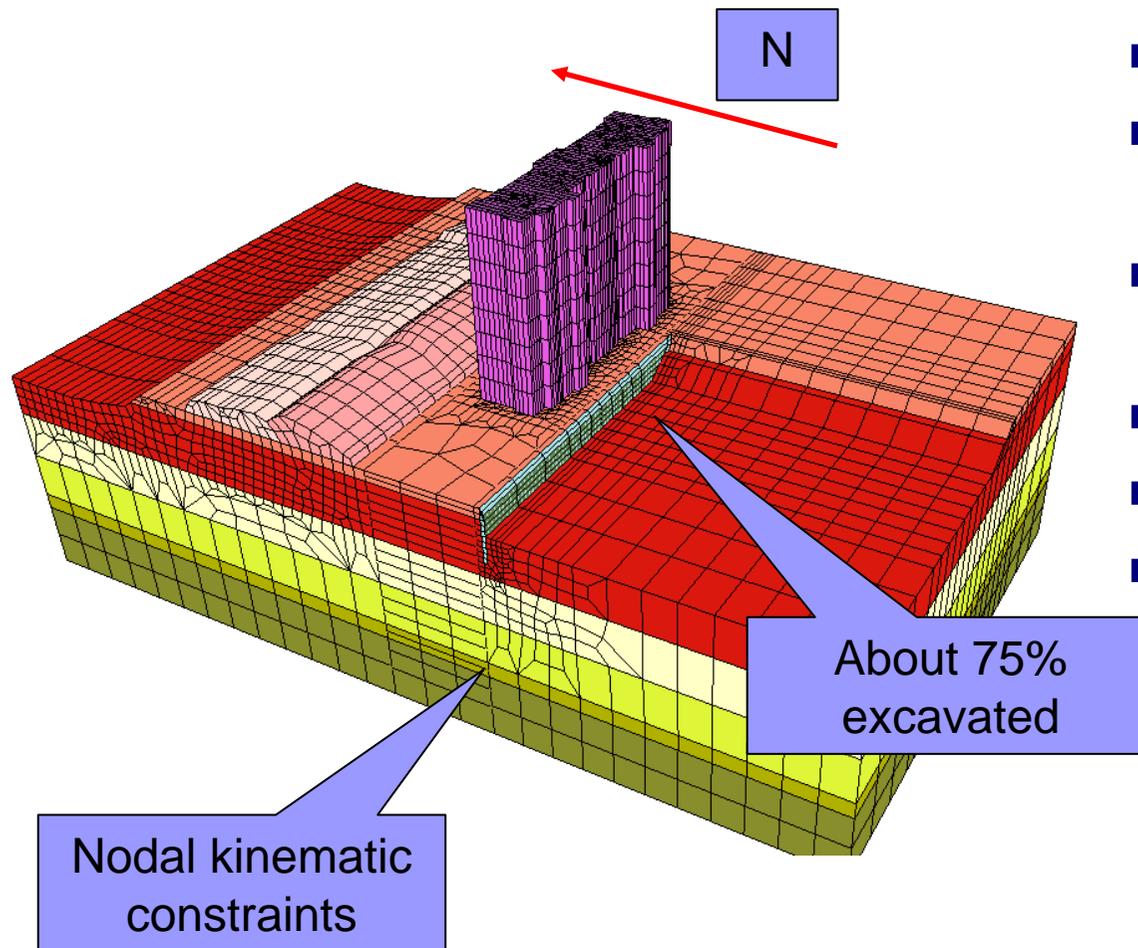
# Modeling Other elements

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- Soil Nail → beam elements + nodal kinematic constraints
- Surcharge (soil) → elastic solid, weight of the backfill (18kN/m<sup>3</sup>) to simulate the surcharge
- Soil cement mixing pile, which is employed to support the underground garage excavation → solid, MC model
- Interface is set between soil cement mixing pile and soil

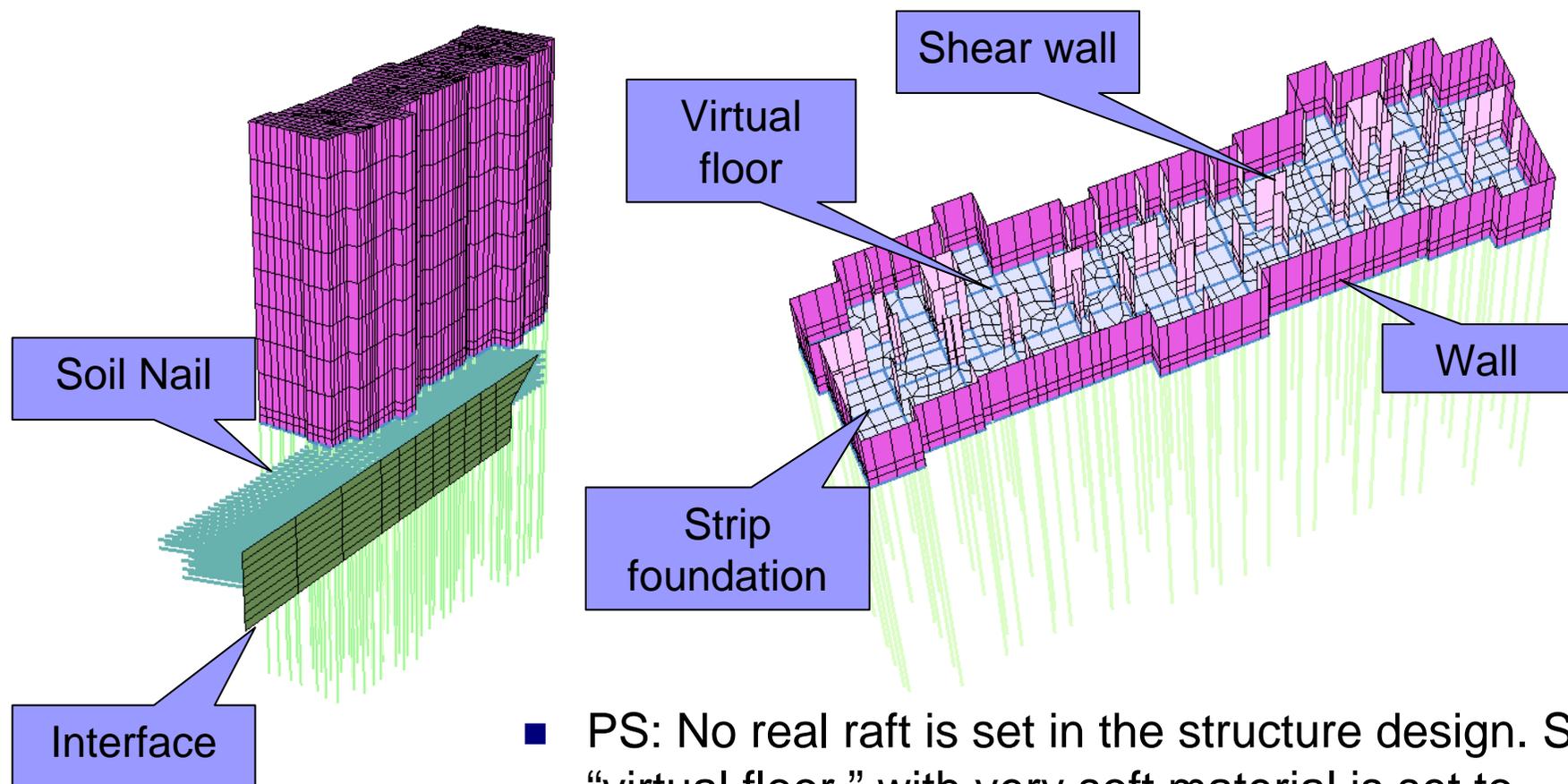
# Modeling

## Overall FEM model

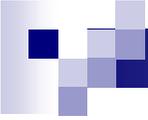


- Number of node : 47941
- Number of continuum element : 35919
- Number of single layer shell : 8839
- Number of beam : 1618
- Number of contact : 99
- Number of kinematic constraints : 4005

# Modeling Structure FEM model



- PS: No real raft is set in the structure design. So “virtual floor ” with very soft material is set to connect the shear wall and beam well

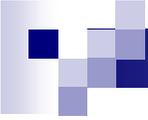


# Modeling

## Calculation stage

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- Stage 0: Initial state, corresponding to time=0
- Stage 1: Activate piles, foundation, upper structure, corresponding to time=0 ~5
- Stage 2: Activate first surcharge, corresponding to time=5 ~10
- Stage 3: Support and excavate the underground garage, corresponding to time=6 ~12
- Stage 4: Activate second surcharge, corresponding to time=10 ~25
- PS: To model the actual construction stage , Stage 4 starts when stage 3 is still unloading( by control EXF and LTF).



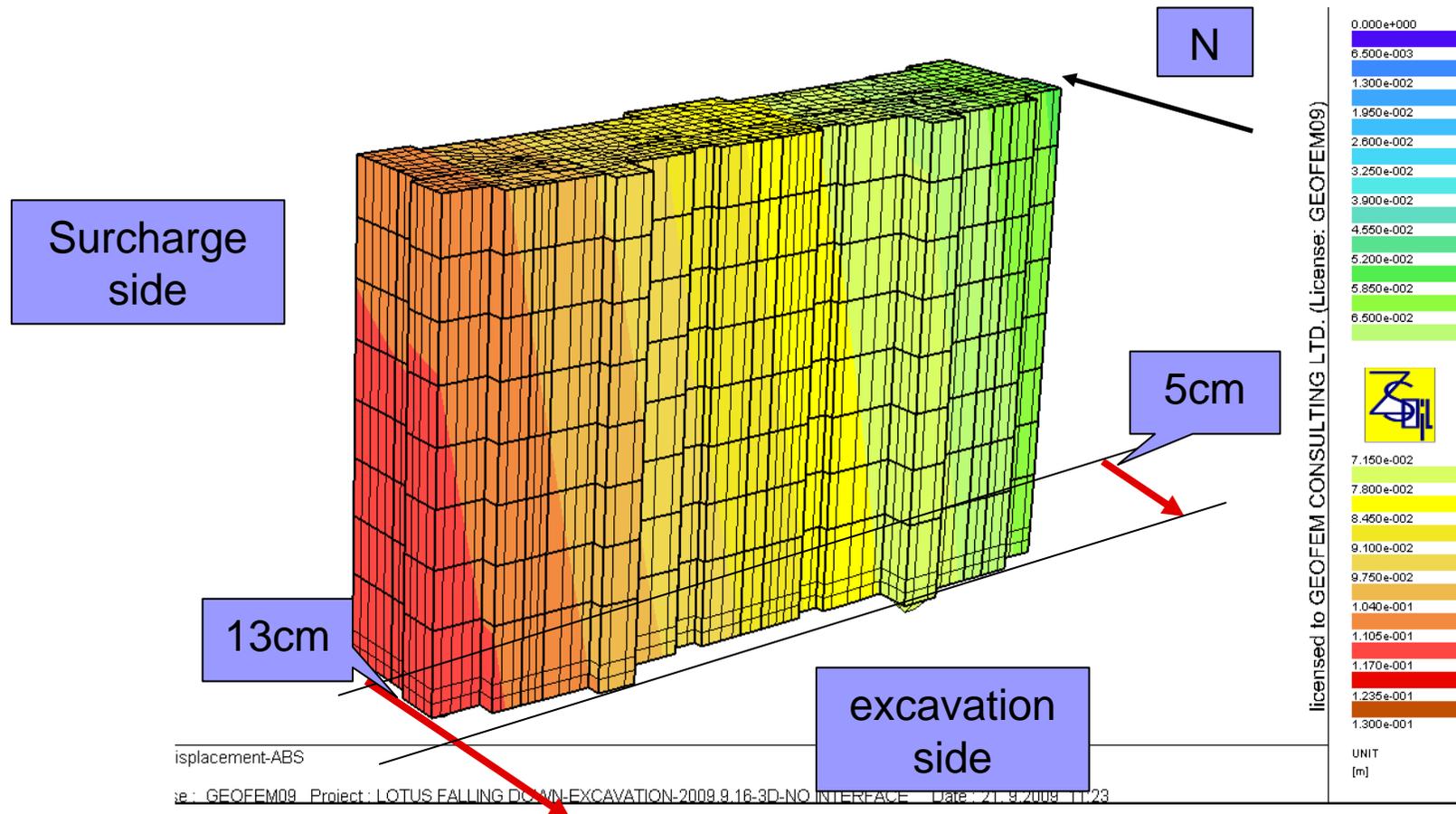
# Modeling Special problem

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- The permeability of the soft clay in shanghai is very small ( $10e-7\text{cm/s}$ ), so the loading (surcharge) procedure could be regarded as “undrained loading ”
- “Undrained” strength and deformation parameters should be used
- Loading and unloading function is assumed to be linear style
- Problem type is “deformation”, excess pore pressure generated by surcharge is not considered

# Results

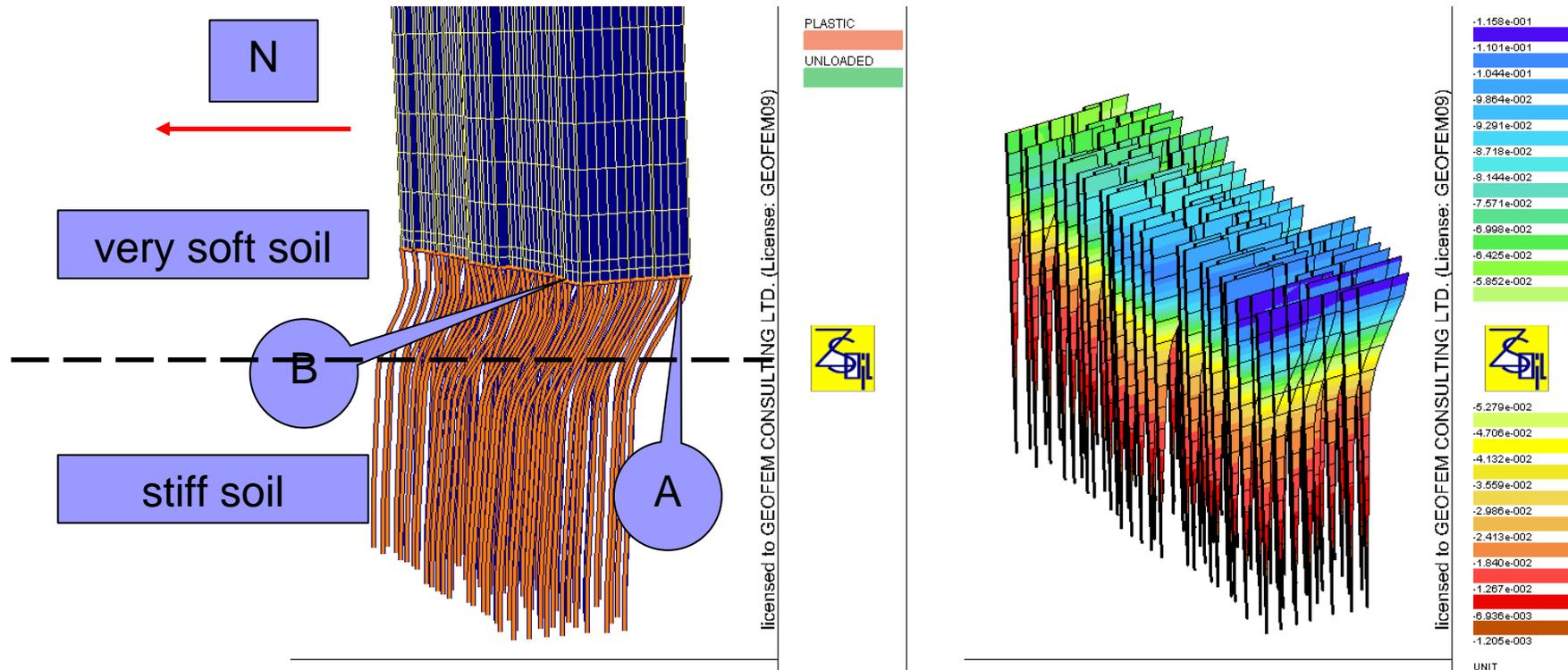
## Displacement of the building



Max. Disp-ABS=13cm, Min. Disp-ABS=5cm,  $\delta=8\text{cm}$

## Results

# Displacement of the building



- Displacement of piles: Max. 11.6cm
- Pay attention to the deformation shape of the piles

## Results

# Internal force of piles

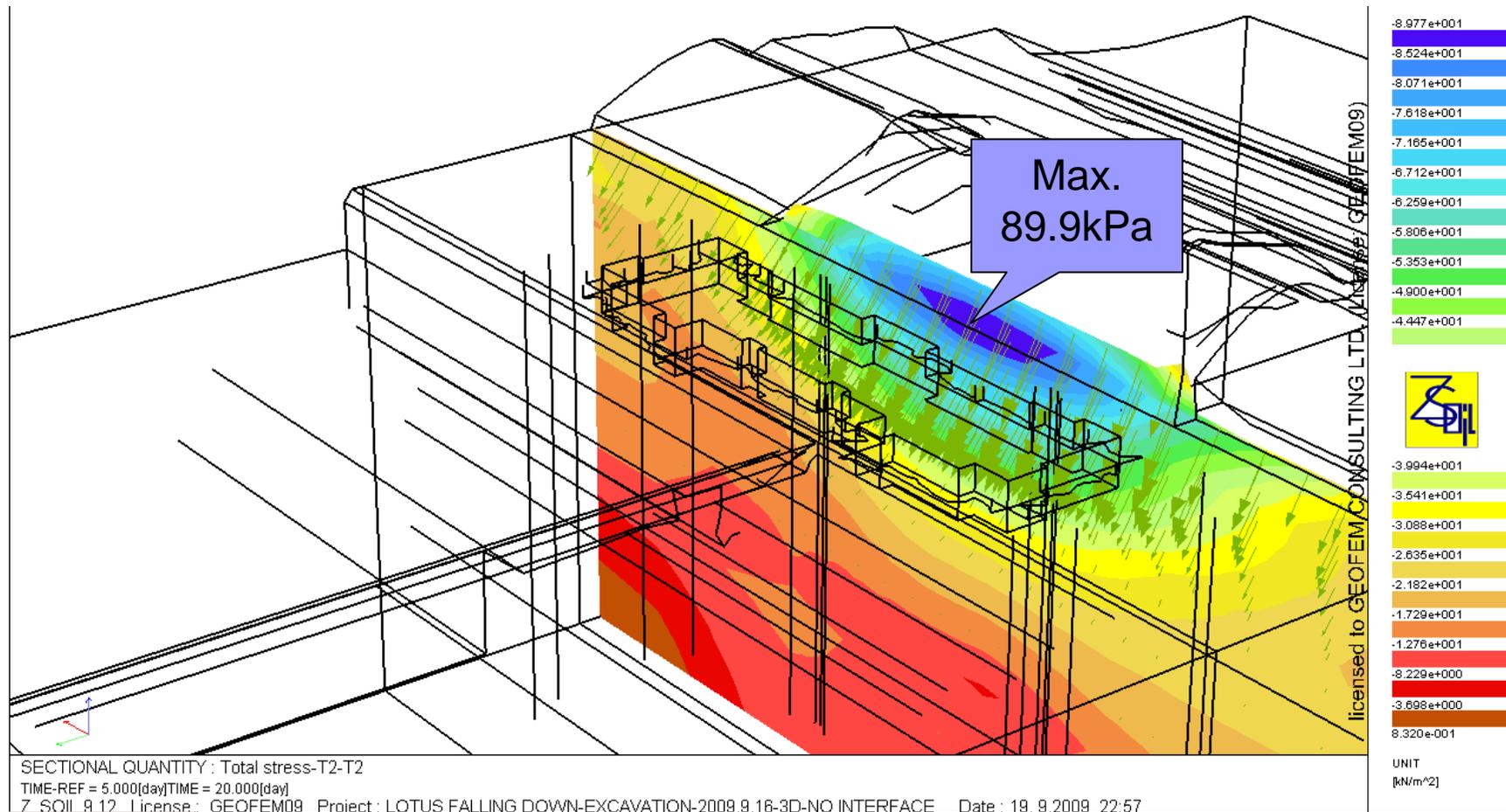
Element info	
List of selected	54221
Gauss point number	1
Moments	
Mt	1.26365e+000
My	<u>-1.39844e+002</u>
Mz	3.48520e+000
Forces	
Nx	<u>-1.20400e+003</u>
Ty	-4.81543e+000
Tz	1.18132e+002

Element info	
List of selected	52175
Gauss point number	1
Moments	
Mt	1.20332e+000
My	<u>1.06016e+002</u>
Mz	1.12420e+001
Forces	
Nx	<u>-1.01377e+003</u>
Ty	1.71473e+000
Tz	-1.65235e+002

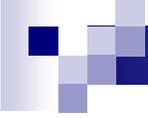
- Internal force combination of the most “dangerous” pile
- Pile A:  $M_y=139.8\text{kNm}$ ,  $N_x=1200\text{kN}$
- Pile B:  $M_y=106\text{kNm}$ ,  $N_x=1014\text{kN}$
- It seems Pile A is more dangerous than Pile B, and Pile A is more likely to break.
- If Pile A break first, the building will fall down to the south side (underground garage excavation side). This is consistent with the actual situation .

## Results

# Lateral force caused by surcharge



- Extra normal earth pressured exerted on the section



## Results

# Lateral force caused by surcharge

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- According to the contour map, the extra earth pressure on the section is 30~90kPa, and the average is 60kPa
- The depth affected by the surcharge is about 11m, the extra earth force exerted on the section is  $60\text{kPa} \times 11\text{m} = 660\text{kN/m}$
- The width of the building is about 45m, so the total extra earth force will be  $660\text{kN/m} \times 45\text{m} = 29700\text{kN}$
- The strength of the very soft soil is very low, so most of the extra lateral load will be sustained by piles, for example 70%
- $29700 \times 0.7 / 118 = 176.1\text{kN} >$  shear strength of each pile



Results

# Movie

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- [building.avi](#)



Outlook

# Improvements

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- “deformation + flow” analysis should be used to evaluate the effect of excess pore pressure
- Post failure effect of soil and structure (pile) element



**The content presented in this paper is my individual viewpoint only.**



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